# CONSIDERATION OF LBO SAW RESONATOR STABILIZED VOLTAGE CONTROLLED OSCILLATOR FOR PLL-FM MODULATOR

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#### Abstract

The method of making to high performance to optimize the fundamental property of lithium tetraborate ( $Li_2B_4O_7$ : used as stabilized material for the SAW is LBO) researched.1 In this paper, LBO SAW-PLL modulator is examined. LBO can miniaturize the SAW resonator because the loss is a little and the reflection coefficient is large, and there are as much as one percent electromechanical coupling factor. Because an inductor necessary to increase a changeable range of the frequency becomes unnecessary, it stabilizes further and the device can be miniaturized. However, LBO SAW had the fault with a bad temperature characteristic. LBO is a special material where linear expansion coefficient  $\alpha_{11}$  and  $\alpha_{33}$  have anisotropy in the side of the opposite sign. It has been understood to be able to make the characteristic ST-cut quartz level by temperature appropriately choosing compared with the vicinity of the SAW chips. In high frequency, there is a possibility that the SAW-PLL modulator that uses the SAW resonator that uses this new structure LBO can change into VCXO.

### Introduction

SAW VCO that consists of the combination of quartz SAW resonator and the inductor is a small SAW oscillator of high purity with an unnecessary multiply. Up to now, the research and development has been advanced in the frequency domain of UHF band from the VHF band as for this quartz SAW VCO. It had been put to practical use by 145MHz SAW-PLL modulator of a small wireless transceiver for an analog car telephone in the past. PLL method is often used to obtain a steady oscillation source, and has an excellent characteristic in the phase noise characteristic etc. However, the VCXO is widely used from the delay of the frequency adjustment cost and development for a digital cellular phone as for quartz SAW-PLL modulator.<sup>2,3</sup>

### LBO Wafer Lithography Process

LBO is a paraelectrics crystal with 4mm symmetry.

Alkali etching is adapted in photolithographic process for LBO wafer. The productive process of the developed lithography is shown in the mass production of the wafer for LBO in Figure 1. The negative type photoresist that the formation of a fine pattern of  $0.3\mu$ m resolution is possible is used. Negative type photoresist (TSMR-iN200:Tokyo Ohka Kogyo) can control Line & Space. That is, if the exposure time is lengthened, Line & Space can be large, and becoming thin of the line of the photoresist at the etching time be corrected. Even if the pinhole is generated on the pattern, the negative type photoresist can avoid the IDT short pattern.

Post Exposure Barking (P.E.B.) process is indispensable, and the photoresist pattern changes depending on the condition so that the chemical amplification type negative photoresist may cause the negative type reaction after it exposes it. TSMR-iN200 is P.E.B. . The temperature is  $110^{\circ}C \sim 120^{\circ}C$ .

| A1-Cu (0. 5%)                |  |  |  |
|------------------------------|--|--|--|
| Sputtering                   |  |  |  |
| Negative Photoresist Coating |  |  |  |
| TSMR-iN200(15cp)             |  |  |  |
| Pre-Barking                  |  |  |  |
| 95℃ 120sec.                  |  |  |  |
| Deep-UV Exposure             |  |  |  |
| $2\sim 4 \text{sec.}$        |  |  |  |
| Post Exposure Barking        |  |  |  |
| 120°C 45sec.                 |  |  |  |
| Developing                   |  |  |  |
| AD-10                        |  |  |  |
| Post Barking                 |  |  |  |
| 120°C 120sec.                |  |  |  |
| Al-Cu $(0.5\%)$ Etching      |  |  |  |

Figure 1: LBO wafer lithography process

It changes into a lift-off process as a pattern formation method, and the alkali etching is used for the SAW device of the LBO substrate. Figure 2 is etching characteristics of the Al-Cu electrode film by the dip method. It is understood to be constant the etching speed, and to be able to control at time in 35°C temperature. This AL-4 is not seen as for the damage given to the photoresist. In this AL-4, even Al-Cu(0.5%) film thickness  $3.5\mu m$  on practical use good at etching is verified.



Figure2 : Alkali etching characteristics of AL-4

### LBO material characteristics 4-25

It is examined that the electromechanical coupling factor improves the temperature characteristic of 1% and large LBO to ST-cut quartz. When the velocity of SAW is assumed to be V and the linear expansion coefficient in the direction where the substrate is assumed to be  $\alpha$ , TCD of the structural SAW resonator shown in Figure 3 is given by the following expression.

$$TCD = \alpha - \frac{1}{V} \frac{\partial V}{\partial T}$$

Here, it is

$$\alpha = \alpha_{11}(1 - \sin^2 \theta \sin^2 \varphi) + \alpha_{33} \sin^2 \theta \sin^2 \varphi).$$

In LBO of 45°X-Z (45,90,90), the linear expansion coefficient  $\alpha$  becomes  $\alpha_{33}$  (-4ppm/°C). Figure 4 shows the linear expansion curve in the direction of each crystal axis. The coefficient of linear expansion in <110> direction is +24ppm/°C.



Figure 3 : LBO SAW resonator



### Anisotropic three dimensional FEM analysis

The model shown in Figure 5 is analyzed by using the anisotropic three dimensional heat stress FEM. Figure 6 shows the amount of the maximum displacement at each temperature. When it is 4.75 (Z direction length)/2 (Y direction length) = 2.375 compared with the vicinity, the amount of the surface displacement of LBO SAW substrate

is the smallest. Figure 7 is a stress chart of the SAW propagation direction of Z of each temperature.



Figure 5 : 1/4 of the model is analyzed.







Figure 7 : Surface stress distribution in direction of Z at each temperature

The LBO SAW device was mounted on a ceramic package as shown in Figure 8. Figure 9 assumes the ratio of length and width to be a parameter, and shows the width of the temperature of 100ppm. In Figure 8, the rubber adhesive of the thickness h=50  $\mu$  m is used for the adhesive layer where the SAW chip is fixed to a ceramic package. The difference with an analytical result by FEM is considered. The SAW chip is able not to be fixed to a ceramic package uniformly. It is thought that the maximum width of the temperature change shifted because the stress displacement hung to the SAW chip because of this.



Figure 8 : Mounted structure of LBO SAW resonator



Figure 9 : Width of temperature of 100pppm frequency change or less

### SAW VCO for PLL-FM Modulator

Oscillation frequency f of Figure 10 shows the load capacity and an equivalent, parallel of  $C_L$  and SAWR capacity is shown that  $C_0$  and the resonance frequency are assumed to be fr by expression (1).

$$f = f_r \cdot \left( 1 + \frac{1}{2\gamma} \cdot \frac{1}{1 + \frac{C_L}{C_0}} \right) \tag{1}$$

It is for the inductivity reactance element as for SAWR. Therefore, maximum frequency changeable width  $\Delta f$  becomes  $\Delta f / f_r = (2\gamma)^{-1}$  by assuming CL=0  $\sim \infty$  in expression (1). The temperature compensating of the oscillation frequency is possible for  $TF \leq (2\gamma)^{-1}$  in doing the amount of the change by the temperature of the resonance frequency of SAWR as TF.

 $M_F \cong \left(\frac{1}{2\gamma}\right) / TF$  is defined as the second performance index  $M_F$ .

Table 1 showed  $M_F$  of various SAWR. In the calculation,  $\gamma {=} \pi^2 {/}8k^2$  and the range of the specification temperature were assumed to be 100 °C. The amount of the temperature change was large and  $M_F$  was low in past LBO clearly from the table.



Figure 10 : Basic circuit configuration of SAWR VCO

As for new structural LBO SAWR, the performance index is greatly improved.



Figure 11 shows the characteristic of SAWR ( $\gamma$  and TF) and the modulation characteristics. The modulation sensitivity deflection runs side by side as shown in figure, M<sub>F</sub> runs side by side with a constant line in a constant line, and it is understood the sensitivity deflection is single meaning decided by M<sub>F</sub>. As for the distortion factor, it is understood the limit value is decided compared with capacity. It is new structural LBO SAWR that there is a possibility that the distortion factor -30dB can be achieved within 1dB as for the modulation sensitivity distortion.

Table 2 : SAW PLL Modulator obtained performance

| Items                        | Performances  |                            |
|------------------------------|---------------|----------------------------|
| <b>Oscillation frequency</b> | 145.000000MHz | -20°C∼+75°C                |
|                              | ±2.5ppm       |                            |
| Output level                 | -10dB±2dB     | -20°C∼+75°C                |
| Modulation sensitivity       | ±1dB or less  | -20℃~+75℃                  |
| temperature deviation        |               |                            |
| S/N                          | 58dB or more  | Modulation frequency 1kHz  |
|                              |               | Frequency deviation 3.5kHz |
|                              |               | Band width 0~3kHz          |
| <b>Modulation distortion</b> | -30dB or less | Modulation frequency 1kHz  |
|                              |               | Frequency deviation 3.5kHz |
|                              |               | Band width 0~20kHz         |
| C/N                          | 90dB or more  | Offset of carrier 25kHz    |
|                              |               | Band width 15kHz           |

It was 100kHz as a standard signal, and PLL was composed of the machine of dividing frequency (1450 dividing frequency) and the phase comparator, the Rag lead type loop filter, and LBO SAWR. It is operated with  $\Delta f = 500kHz$ . The obtained main performance was shown in Table 2. It is the one to satisfy the performance as PLL modulator enough.

# Conclusions

The following results were obtained.

- 1. It has been understood to obtain the temperature characteristic of ST-cut quartz about LBO SAW. It has been understood that the SAW chip shape is devised, and within the wide range of the temperature, there is a possibility that high steady LBO SAW resonator can be realized.
- 2. The mass production process of LBO SAW was established by combining the etching liquid of negative type photoresist and aluminum film (Al-Cu).
- 3. There is a difference in the experiment result of the anisotropic three dimensional heat stress FEM analysis. This cause seems for the adhesive line of mounting SAW chips to influence. It is assumed the examination problem in the future.
- 4. 145MHz SAW-PLL modulator is the one to satisfy the performance as PLL modulator enough.

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